

# GWAS Findings for Human Iris Patterns: Associations with Variants in Genes that Influence Normal Neuronal Pattern Development

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Human iris patterns are highly variable. The origins of this variation are of interest in the study of iris-related eye diseases and forensics, as well as from an embryological developmental perspective, with regard to their possible relationship to fundamental processes of neurodevelopment. We have performed genome-wide association scans on four iris characteristics (crypt frequency, furrow contractions, presence of peripupillary pigmented ring, and number of nevi) in three Australian samples of European descent. Both the discovery ( $n = 2121$ ) and replication ( $n = 499$  and  $73$ ) samples showed evidence for association between (1) crypt frequency and variants in the axonal guidance gene *SEMA3A* ( $p = 6.6 \times 10^{-11}$ ), (2) furrow contractions and variants within the cytoskeleton gene *TRAF3IP1* ( $p = 2.3 \times 10^{-12}$ ), and (3) the pigmented ring and variants in the well-known pigmentation gene *SLC24A4* ( $p = 7.6 \times 10^{-21}$ ). These replicated findings individually accounted for around 1.5%–3% of the variance for these iris characteristics. Because both *SEMA3A* and *TRAF3IP1* are implicated in pathways that control neurogenesis, neural migration, and synaptogenesis, we also examined the evidence of enhancement among such genes, finding enrichment for crypts and furrows. These findings suggest that genes involved in normal neuronal pattern development may also influence tissue structures in the human iris.

Using genotyped SNPs to predict complex iris phenotypes<sup>1</sup> has proved to be very successful in studies with European samples. So far, 48 SNPs in 11 different regions have been significantly associated with eye (iris) color, and a combination of six of these is able to predict blue-, green/hazel-, and brown-colored eyes with an overall accuracy of 91%, 72%, and 93%, respectively,<sup>2–4</sup> demonstrating feasibility for forensic applications.<sup>5</sup> Furthermore, pigmentation SNPs in *ASIP* (MIM 600201), *TYR* (MIM 606933), *TYRP1* (MIM 115501), *MC1R* (MIM 155555), *OCA2* (MIM 611409), and *SLC45A2* (MIM 606202) account for 12% of the familial risk of malignant melanoma (MIM 155600) in sun-exposed populations of European descent.<sup>6</sup> However, very little is known about genes that influence iris characteristics other than eye color. These additional phenotypes are worthy of attention, because the embryological origin of the iris makes it ideal for studying genes that are expressed early in development of the neural crest and neural ectoderm,<sup>7</sup> believed to be of importance for both ophthalmological and neurological diseases. For example, mutations in *PAX6* (MIM 607108) have been found to cause aniridia (partial or complete loss of the iris)<sup>8</sup> (MIM 106210) as well as neural loss in the frontal lobe,<sup>9,10</sup> and tissue markers in the iris have been associated with neurological diseases, including Down syndrome<sup>11</sup> (MIM 190685), neurofibromatosis type 1<sup>12</sup> (MIM 162200), and Gillespie syndrome<sup>13,14</sup> (MIM 206700), suggesting that development of the iris and the brain may be linked.

Dysfunctional development of the cell layers in the iris also contributes to ocular melanoma<sup>15,16</sup> (MIM 155720), glaucoma<sup>17,18</sup> (MIM 137750, 231300, 137760), pigment dispersion (MIM 600510), pseudoexfoliation syndrome<sup>19</sup> (MIM 177650) and Axenfeld-Rieger syndrome<sup>18</sup> (MIM 62482, 80500) (other iris-related eye diseases are listed in NIEBank). We used a GWAS approach to identify genes associated with texture and pigment characteristics of the human iris and to explore the involvement of these genes in pathways for normal neurodevelopment.

We conducted a multistage study of 2693 individuals to detect genetic variation in four general iris characteristics. The discovery sample, consisting of 1652 individuals (age range 9–23 years, mean 13.23, SD 1.83) from the Brisbane Adolescent Twin Study (BATS) and 469 individuals (age range 5–50 years, mean 17.28, SD 10.49) from the Twin Eye Study in Tasmania (TEST). We validated the results from the discovery sample, using two independent replication samples. We directly genotyped the nine most promising SNPs in an independent replication sample of 499 Australian adolescent twins and siblings (age range 9–20 years, mean 13.50, SD 1.96), and conducted in silico replication in a second independent GWAS sample in which phenotypes were available for 73 individuals (age range 5–19 years, mean 10.76, SD 4.14). A full description of the Australian twin cohorts is given elsewhere.<sup>20,21</sup> All three samples were drawn from the general population. All participants provided written informed consent, and

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**Table 1. Overview of Discovery and Replication Twin-Family Samples Used for GWAS of Iris Pattern Phenotypes and of the Reduced Samples of Independent Subjects used for Polygenic Prediction Analyses**

Sample	MZ Twins	DZ Twins	Other Sibling	N of Families	Mean Family Size	Effective Sample Size	No. of SNPs Tested
<b>GWAS and VEGAS Analyses</b>							
Discovery Sample: BATS	500	907	245	745	2.21	1652	2,383,268 <sup>b</sup>
Discovery Sample: TEST	190	255	24	244	1.92	469	2,383,268 <sup>b</sup>
Replication Sample 1	127	242	130	222	2.24	499	11 <sup>c</sup>
Replication Sample 2 <sup>d</sup>	29	27	17	41	1.78	73	9 <sup>b</sup>
<b>Polygenic Prediction Analyses<sup>a</sup></b>							
Discovery Sample: BATS	251	440	-	691	1.00	691	383 <sup>b</sup>
Discovery Sample: TEST	96	133	-	229	1.00	229	383 <sup>b</sup>
Replication Sample 2 <sup>d</sup>	15	21	-	36	1.00	36	383 <sup>b</sup>

MZ, monozygotic; DZ, dizygotic.

<sup>a</sup> Conducted with the use of only one person per family.

<sup>b</sup> Both genotyped and imputed SNPs, present in HapMap I+II (r22b36).

<sup>c</sup> Genotyped SNPs only.

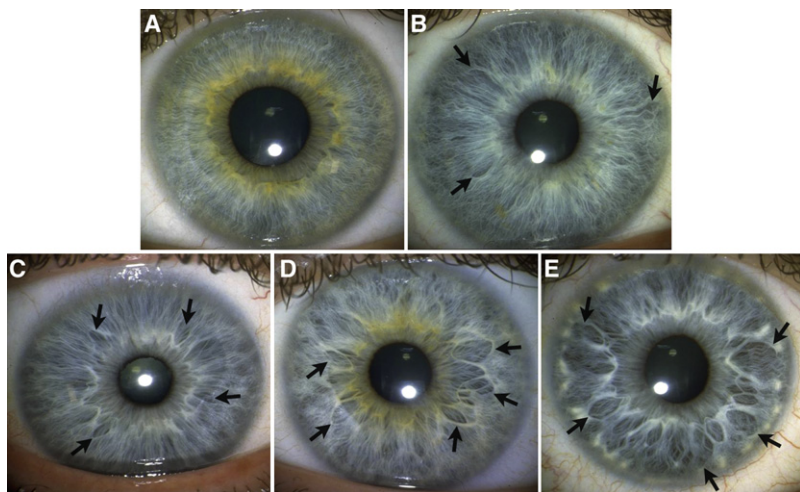
<sup>d</sup> These individuals entered the study after the genotyping of BATS and TEST had finished.

the studies were approved by the respective local research ethics committees. An overview of the samples is presented in Table 1.

Genotyping was performed with Illumina HumanHap 610W Quad arrays. Genotypic data were screened through a series of quality control criteria, including Mendelian errors, minor allele frequency (MAF)  $\geq 1\%$ , p-value of a Hardy-Weinberg equilibrium (HWE) test  $\geq 10^{-6}$ , SNP call rate  $> 95\%$ , and Illumina Beadstudio GenCall score  $\geq 0.7$ . We screened the genotypic data for ancestral outliers by using principal-component analysis,<sup>22</sup> comparing the genotyped data in the discovery sample with 16 global populations sourced from HapMap Phase 3 and Northern European subpopulations from a previous study.<sup>23</sup> Approximately 2% of the samples were excluded as ancestral outliers. As described elsewhere,<sup>24</sup> the genotypic data were imputed to HapMap CEU I+II (release 22, build 36) with the use of MACH with a set of 274,604

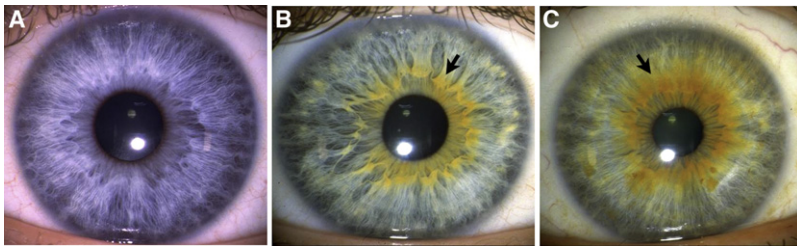
SNPs that were common to the seven waves of genotyping available when this project began at QIMR.<sup>24</sup> The same quality control pipeline was used to clean the genotypic data of the second replication sample, resulting in a set of 469,117 SNPs that were used for imputation via the same procedures that were used in the discovery sample. After quality control ( $r^2 \geq 0.3$ ; MAF  $\geq 0.01$ ; HWE  $p > 10^{-6}$ ), the imputed data in the discovery sample and in replication sample 2 contained 2,383,268 and 2,379,931 SNPs, respectively.

The phenotypes used within this study were derived from close-up high-resolution digital color photos of the iris. Four ordinal scales, depicted in Figures 1–4, were used by M.L. to rate the iris characteristics of interest: crypt frequency (Figure 1) measures different degrees of hypoplasia in the two top cell layers in the iris (i.e., anterior border layer and underlying stroma), the extension and evenness of the peripupillary pigmented ring (Figure 2)



**Figure 1. Crypt Frequency**

Crypt frequency measures the degree of hypoplasia in the two top cell layers in the iris; i.e., the anterior border layer and the underlying stroma. The arrows indicate examples of crypt markings of interest in the iris tissue. The categories above depict (A) only parallel and/or densely packed curly fibers, (B) spots of wavy fibers and 1–3 shallow crypts, (C) at least four shallow crypts, (D) at least five marked crypts and/or a tissue texture that is more permeable than in category 3, (E) at least four large crypts that extend at least 2/3 of the distance between the collarette and the periphery of the iris.



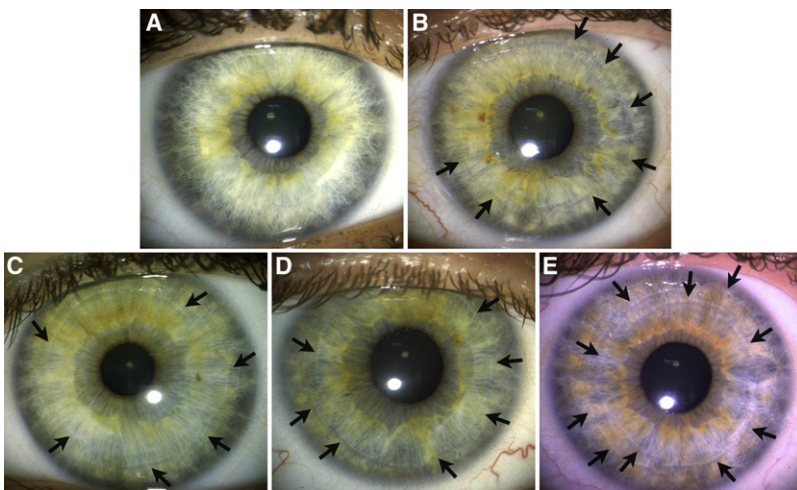
**Figure 2. Extension and Evenness of the Pigmented Ring**

The pigmented ring measures the amount of melanin that surrounds the pupil and distinguishes different shades of green and hazel eye color (91% of people judged to have a green or hazel eye color by a distant observer in this sample had a pigmented ring). The categories above depict (A) the absence of a pigmented ring; (B) an uneven pigmented ring, covering 18–348 degrees; (C) an even pigmented ring, covering > 348 degrees.

indexes the amount of melanin that circumscribes the pupil, the distinction and extension of furrow contractions (Figure 3) is a measure of the overall thickness of the iris, and frequency of iris nevi (Figure 4) is a measure of the number of melanin accumulations on the anterior border layer. The scale construction, the rating procedure, and the interrater reliability of the rating procedure have been reported in detail elsewhere.<sup>25</sup> The photos of each participant's right and left iris were viewed simultaneously by the rater during the rating procedure. However because the polychoric correlations for the iris characteristics from the right or left iris ranged from 0.91 to 0.95 (Table S1 available online), only ratings for the right iris were used in the genetic analysis.

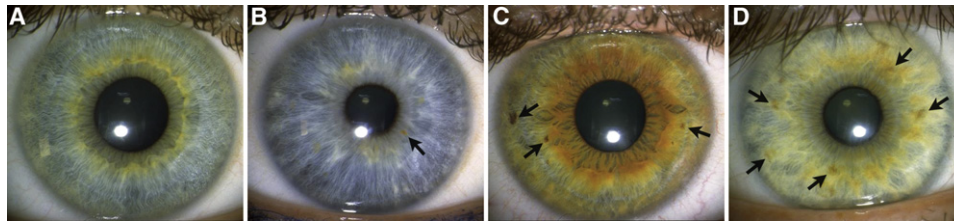
To establish the test-retest reliabilities of the ratings in this sample, a representative sample of 400 iris photos of crypts, furrows, and nevi were rated twice by the first author, five weeks apart, the second rating blind to the first. For the pigment ring, a randomized subsample of 982 iris photos was rated independently by M.L. and R.A.S. The polychoric test-retest and interobserver reliabilities ranged from 0.91 to 0.96 (Table S1). The distributions of the iris characteristics in the discovery and replication samples are presented in Table S2. Because relatively few participants had iris characteristics that corresponded with the highest categories, the data were recoded to produce three categories for crypts and furrows and two for iris nevi.

The phenotypic correlations between the iris characteristics for males and females are shown in Table S3. For estimation of the heritability of the iris characteristics in our sample, heritability analyses were undertaken in the context of a classical twin design with the use of standard structural equation modeling techniques for analysis of the data from monozygotic (MZ) and dizygotic (DZ) twin pairs in the discovery sample.<sup>26</sup> To enable the analysis of the ordinal data, we used a multifactorial threshold model, which assumes that the observed data reflect an underlying continuous distribution that cannot be quantified but arises from the sum of numerous factors of small effect. Under this model, the ordered classes are parameterized as thresholds required to divide a standard normal distribution into categories with cumulative probability equal to the frequency of the categories in the observed data. The best-fitting model incorporating additive (A) and nonadditive (D) genetic and individual environment (E) variance components are presented in Table S4. The broad-sense heritabilities for crypt, furrows, pigmented ring, and iris nevi were 84%, 87%, 93%, and 71%, respectively, somewhat higher than previously reported for these scales in a German twin sample.<sup>25</sup> Furthermore, age and sex differences were observed for the threshold estimates: males scored higher than females on crypts, but they received somewhat lower scores on nevi, furrows, and the pigmented ring than females. Despite the fairly narrow age range of our sample (87.6% of the sample were between



**Figure 3. Distinction and Extension of Furrow Contractions**

Furrows manifest as the result of a tendency of the iris to fold in exactly the same location each time the iris adapts to different light conditions. They relate to the overall thickness and density of the iris (thicker irises have more extended furrows). The arrows indicate example of furrows on the iris. The categories above depict (A) the absence of furrows, or short furrows extending < 90 degrees; (B) furrows extending between 90 and 288 degrees; (C) distinct furrows, extending at least 288 degrees; (D) more marked furrows than in category 3, extending at least 324 degrees; (E) at least two concentric furrows, with a primary furrow of > 324 degrees and a second furrow of > 288 degrees.



**Figure 4. Frequency of Iris Nevi**

Iris nevi are melanin accumulations on the anterior border layer. The arrows point to examples of iris nevi. The categories above depict (A) the absence of iris nevi, (B) at least one iris nevus, (C) at least three iris nevi, (D) at least five iris nevi.

10 and 16 years), older participants also tend to receive higher scores on nevi and furrows than younger participants, but no age differences were found for the other iris characteristics.

GWAS analyses in the discovery stage were conducted with the use of quality-controlled hard-call genotypes in MERLIN (fastassoc),<sup>27</sup> which controls for family structure and zygosity by modeling kinship within each family.<sup>28</sup> We included sex, age, eye color, and the six SNPs in *HERC2* (MIM 605837) and *OCA2* that have been shown to be most strongly associated with eye color (rs12913832, rs7183532, rs7495174, rs11852452, rs7179994, rs7183877) as covariates in the GWAS analysis.<sup>6</sup>

In the second stage of the study, we examined evidence for replication by genotyping the nine most promising SNPs in an independent replication sample (replication1) and through in silico replication of a small independent GWAS sample. For both replication samples, the analyses were conducted with MERLIN. The results from the three samples were combined using an inverse standard-error-weighted meta-analysis within METAL.<sup>29</sup>

The results for the strongest association signals in the discovery sample as well as the result in the replication samples and the meta-analysis are presented in Table 2. The third strongest association signal for crypts in the discovery sample, rs10235789 (7q21.11;  $p = 2.7 \times 10^{-6}$ ), was supported in both replication samples ( $p = 2.0 \times 10^{-4}$  and  $p = 2.5 \times 10^{-3}$ ), with meta-analysis of the three samples yielding a  $p$  value of  $6.6 \times 10^{-11}$  (Figure S1). rs10235789 is located in intron 6 of *SEMA3A*, which has previously been associated with schizophrenia<sup>30,31</sup> (MIM 181500) and the progression of Alzheimer disease<sup>32</sup> (MIM 104300). *SEMA3A* inhibits the migration of the neural crest cells that grow into the pericocular region to form the pupillary membrane, which later develops into the anterior border layer and stroma in the iris, these being the cell layers where crypts occur.<sup>7,33</sup> Thus, it is likely that *SEMA3A*, which in the brain influences apical dendritic growth and can function as either a chemorepulsive or chemoattractive agent for axonal outgrowth,<sup>34</sup> also influences processes that contribute to the formation of crypts in the human iris. About 1.5% of the variance for crypts could be explained by the replicated SNP findings on 7q21.11 (rs10235789). A number of other promising association signals were observed in the discovery sample

(rs13140875, rs205611, rs10874518). However, these results were not replicated in the other two samples.

For furrows, the most strongly associated SNP was rs3739070 ( $p = 6.2 \times 10^{-8}$ ), a nonsynonymous coding SNP in *TRAF3IP1* (MIM 607380) on chromosome 2q37.3 (Table 2, Figure S2). This finding was replicated with the use of a proxy SNP in replication sample 1 (rs11900963,  $p = 1.3 \times 10^{-4}$ ,  $r^2 = 1.00$ ,  $D' = 1.00$ , HapMap II r22b36 CEU). Meta-analysis across the three samples yielded a  $p$  value of  $2.3 \times 10^{-12}$ , suggesting that *TRAF3IP1* may contribute to the overall thickness and density of the iris. *TRAF3IP1* is also implicated in neurogenesis, neural migration, and synaptogenesis.<sup>35,36</sup> About 1.7% of the variance in the discovery sample and 3% in the first replication sample were explained by rs3739070.

The SNP most strongly associated with the pigmented ring, rs4900109 ( $p = 2.90 \times 10^{-13}$ ), exceeded our threshold for genome-wide significance in the discovery sample and was also found to be strongly associated with the pigmented ring in the replication sample 1 ( $p = 5.70 \times 10^{-5}$ ; Table 2). Not surprisingly, meta-analysis of the three samples was highly significant ( $7.6 \times 10^{-21}$ ). This SNP is situated in an intergenic region on chromosome 14q32.12 upstream of the well-known pigmentation gene *SLC24A4* (MIM 609840) and is in high LD with rs12896399 in the same region (rs4900109, rs12896399,  $r^2 = 1.00$ ,  $D' = 1.00$  within the HapMap CEU sample), which has been previously associated with blue versus brown eye color, blue versus green eye color, hair color, skin sensitivity to sun, and increased risk for cutaneous malignant melanoma.<sup>4,6,37-39</sup> Thus, rs4900109 may influence where in the iris the pigment is placed, rather than overall eye color per se. The finding that 91% of people judged to have a green or hazel eye color by a distant observer in this sample have a pigmented ring supports this notion. Furthermore, 12 SNPs in the GWAS (Figure S3) had a  $p$  value less than  $1.0 \times 10^{-5}$ , and all except rs4900109 were situated in regions that have not previously been associated with iris pigmentation. These SNPs may influence where melanin is deposited in the iris and may also be modifying risk alleles for cutaneous melanoma, because three of the top findings are in well-known regions associated with cutaneous melanoma: 6q16.3 (rs9322729,  $p = 8.2 \times 10^{-6}$ ),<sup>40,41</sup> 7q21.3 (rs10808093,  $p = 5.6 \times 10^{-6}$ ),<sup>42,43</sup> and 7q31.1

**Table 2. Results for the Strongest Association Signals in the Discovery Sample, Association in Replication Sample 1 and Replication Sample 2, and the Meta-Analyses of These Samples**

Sample	MAF Alleles (Effect Null)			Crypts				Furrows				Pigmented Ring				Iris Nevi			
				P	β	SE	r <sup>2</sup> (%)	P	β	SE	r <sup>2</sup> (%)	P	β	SE	r <sup>2</sup> (%)	P	β	SE	r <sup>2</sup> (%)
<b>rs13140875 (Closest Gene: ANXA10)</b>																			
D	0.13	T	C	9.7 × 10 <sup>-8</sup>	0.16	0.03	1.55	0.100	-0.06	0.03	0.15	0.270	0.03	0.03	0.07	0.310	0.02	0.02	0.06
R1	0.13	T	C	0.045	-0.12	0.06	0.85	0.700	0.03	0.08	0.03	0.870	0.01	0.08	0.01	0.190	0.06	0.04	0.36
R2	0.10	T	C	0.292	-0.18	0.17	2.51	0.759	0.06	0.21	0.21	0.349	-0.13	0.14	1.99	0.606	0.05	0.09	0.51
MA		T	C	2.5 × 10 <sup>-4</sup>	0.10	0.03	-	0.091	-0.05	.03	-	0.436	0.02	0.03	-	0.100	0.03	0.02	-
<b>rs205611 (Closest Gene: AC007387.1)</b>																			
D	0.19	A	G	2.1 × 10 <sup>-6</sup>	-0.13	0.03	1.43	1.3 × 10 <sup>-4</sup>	0.10	0.03	0.66	0.330	0.03	0.03	0.06	0.500	-0.01	0.02	0.03
R1	0.19	A	G	0.190	-0.07	0.05	0.35	0.480	-0.05	0.07	0.10	0.250	-0.07	0.07	0.26	0.290	-0.04	0.04	0.23
R2	0.25	A	G	1.1 × 10 <sup>-2</sup>	-0.30	0.12	9.36	0.915	-0.01	0.15	0.02	0.495	-0.06	0.10	0.68	0.922	0.01	0.06	0.01
MA		A	G	1.2 × 10 <sup>-6</sup>	-0.12	0.03	-	6.4 × 10 <sup>-3</sup>	0.07	0.03	-	0.729	0.01	0.03	-	0.418	-0.02	0.02	-
<b>rs10235789 (Closest Gene: SEMA3A)</b>																			
D	0.42	C	T	2.7 × 10 <sup>-6</sup>	0.10	0.02	1.27	9.3 × 10 <sup>-5</sup>	-0.09	0.02	0.86	0.620	-0.01	0.02	0.01	0.540	-0.01	0.01	0.02
R1	0.50	C	T	2.0 × 10 <sup>-4</sup>	0.15	0.04	2.92	0.750	-0.02	0.05	0.02	0.200	0.07	0.05	0.35	0.096	0.05	0.03	0.59
R2	0.42	C	T	2.5 × 10 <sup>-3</sup>	0.27	0.10	13.9	1.3 × 10 <sup>-2</sup>	-0.30	0.12	9.54	0.384	-0.07	0.08	1.17	0.349	-0.05	0.05	1.02
MA		C	T	6.6 × 10 <sup>-11</sup>	0.12	0.02	-	3.2 × 10 <sup>-6</sup>	-0.09	0.02	-	0.886	-0.01	0.02	-	0.548	-0.01	0.01	-
<b>rs2189849, rs1357813<sup>a</sup>, rs2189849 (Closest Gene: SEMA3A)</b>																			
D	0.29	C	A	3.1 × 10 <sup>-5</sup>	0.10	0.02	0.97	2.1 × 10 <sup>-3</sup>	-0.08	0.03	0.53	0.680	-0.01	0.02	0.01	0.170	-0.02	0.02	0.10
R1	0.27	A	T	0.680	-0.02	0.05	0.03	0.620	-0.03	0.06	0.06	0.920	-0.01	0.06	0.00	0.530	-0.02	0.04	0.09
R2	0.22	C	A	0.128	0.17	0.12	4.33	0.403	-0.12	0.14	1.32	0.555	0.06	0.10	0.66	0.927	0.01	0.06	0.01
MA		C	A	3.2 × 10 <sup>-6</sup>	0.09	0.02	-	6.5 × 10 <sup>-3</sup>	-0.07	0.03	-	0.685	-0.01	0.02	-	0.306	-0.02	0.02	-
<b>rs4732540 (Closest Gene: SEMA3A)</b>																			
D	0.49	A	G	8.5 × 10 <sup>-5</sup>	0.02	0.02	0.86	6.1 × 10 <sup>-3</sup>	-0.06	0.02	0.42	0.990	0.00	0.02	0.00	0.260	-0.02	0.01	0.07
R1	0.46	A	G	0.140	0.06	0.04	0.45	0.090	-0.09	0.05	0.60	0.160	-0.07	0.05	0.41	0.210	-0.04	0.03	0.33
R2	0.36	A	G	0.368	0.08	0.09	1.13	0.593	-0.06	0.11	0.40	0.422	-0.06	0.07	0.91	0.280	-0.05	0.05	1.31
MA		A	G	0.088	0.03	0.02	-	4.7 × 10 <sup>-4</sup>	-0.06	0.02	-	0.470	-0.01	0.02	-	0.014	-0.02	0.01	-
<b>rs10874518 (Closest Gene: OLFM3)</b>																			
D	0.35	A	C	3.5 × 10 <sup>-6</sup>	-0.10	0.02	1.26	0.840	0.01	0.03	0.00	0.970	-0.00	0.02	0.00	0.460	-0.01	0.01	0.03
R1	0.36	A	C	0.330	0.04	0.04	0.18	0.320	-0.05	0.05	0.19	0.160	0.07	0.05	0.39	0.340	-0.03	0.03	0.17

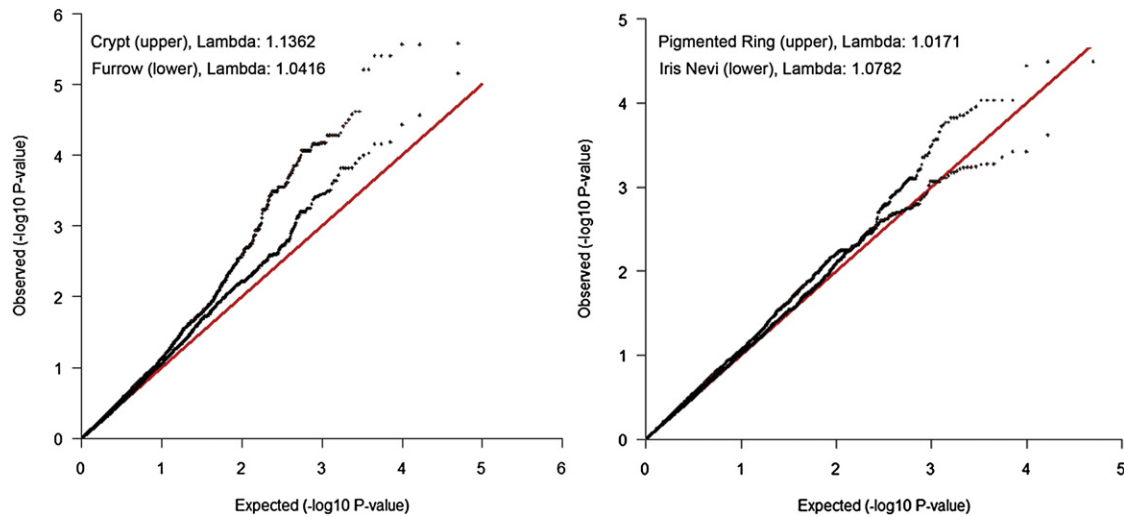
**Table 2. Continued**

Sample	MAF Alleles (Effect Null)			Crypts				Furrows				Pigmented Ring				Iris Nevi			
				P	$\beta$	SE	$r^2$ (%)	P	$\beta$	SE	$r^2$ (%)	P	$\beta$	SE	$r^2$ (%)	P	$\beta$	SE	$r^2$ (%)
R2	0.36	A	C	0.401	0.09	0.11	1.23	0.358	-0.12	0.13	1.48	0.105	0.14	0.09	4.61	0.552	-0.03	0.06	0.52
MA		A	C	$1.2 \times 10^{-4}$	-0.07	0.02	-	0.686	-0.01	0.03	-	0.410	0.02	0.02	-	0.184	-0.01	0.01	-
<b>rs3739070, rs11900963<sup>b</sup>, rs3739070 (Closest Gene: TRAF3IP1)</b>																			
D	0.08	A	C	$4.1 \times 10^{-3}$	-0.11	0.04	0.47	$6.2 \times 10^{-8}$	0.24	0.04	1.70	0.460	-0.03	0.04	0.03	0.170	0.04	0.03	0.11
R1	0.13	A	T	$5.8 \times 10^{-5}$	-0.28	0.07	3.39	$1.3 \times 10^{-4}$	0.34	0.09	3.07	0.370	0.08	0.09	0.17	0.540	0.03	0.05	0.10
R2	0.07	A	C	0.189	-0.27	0.21	3.86	0.654	0.12	0.26	0.45	0.086	0.29	0.17	6.67	0.911	-0.01	0.10	0.02
MA		A	C	$6.1 \times 10^{-6}$	-0.16	0.03	-	$2.3 \times 10^{-12}$	0.25	0.03	-	0.967	0.00	0.04	-	0.167	0.03	0.02	-
<b>rs4900109 (Closest Gene: SLC32A4)</b>																			
D	0.45	G	T	0.060	-0.04	0.02	0.22	0.880	0.01	0.02	0.00	$2.9 \times 10^{-13}$	0.17	0.02	3.23	0.450	-0.01	0.01	0.03
R1	0.42	G	T	0.190	-0.06	0.04	0.36	0.990	0.00	0.05	0.00	$5.7 \times 10^{-5}$	0.22	0.05	3.45	0.150	0.04	0.03	0.44
R2	0.37	G	T	0.742	0.03	0.10	0.19	0.096	-0.22	0.13	4.92	0.737	0.03	0.08	0.20	0.962	-0.01	0.05	0.01
MA		G	T	0.018	-0.04	0.02	-	0.826	0.01	0.02	-	$7.7 \times 10^{-21}$	0.17	0.02	-	0.879	-0.01	0.01	-
<b>rs11630290 (Closest Gene: HERC1)</b>																			
D	0.17	C	T	0.060	-0.04	0.02	0.22	0.630	0.01	0.03	0.01	0.076	0.05	0.03	0.18	$6.3 \times 10^{-6}$	0.10	0.02	1.13
R1	0.22	C	T	0.210	-0.06	0.05	0.30	0.290	-0.06	0.06	0.21	0.980	0.00	0.06	0.00	0.430	0.05	0.06	0.12
R2	0.21	C	T	0.336	0.13	0.14	1.61	0.339	0.16	0.17	1.60	0.696	0.04	0.11	0.27	0.921	-0.01	0.08	0.02
MA		C	T	0.031	-0.04	0.02	-	0.999	-0.00	0.03	-	0.125	0.04	0.03	-	$1.3 \times 10^{-6}$	0.09	0.02	-

D, discovery sample; R1, replication sample 1; R2, and replication sample 2; MA, meta-analysis; MAF, minor allele frequency.

<sup>a</sup> Proxy for rs2189849,  $r^2 = 0.66$ .

<sup>b</sup> Proxy for rs3739070,  $r^2 = 1.00$ .



**Figure 5.** Q-Q Plots for the 24,812 SNPs Located within the 219 Genes in the Krasnova et al. Set<sup>55</sup>  
See Table S9 as well.

(rs6957003,  $p = 6.4 \times 10^{-6}$ ).<sup>44,45</sup> In both the discovery sample and the larger replication sample, rs4900109 accounted for around 3.2% of the variance in the pigmentation ring.

For iris nevi, rs11630290, located in *HERC1* (MIM 605109; 15q22.31), showed the strongest association signal ( $p = 6.3 \times 10^{-6}$ ; Table 2, Figure S4). However, this association did not replicate, although the direction of effect was consistent in the larger replication sample and the meta-analysis yielded a  $p$  value of  $1.28 \times 10^{-6}$ . *HERC1* is distinct from the well-known pigmentation gene *HERC2*, which is situated 35 Mbp downstream of *HERC1* on chromosome 15. Little is known about *HERC1*, but it is believed to be involved in different membrane transport processes.<sup>46,47</sup> Other suggestive SNP findings and the quantile-quantile (Q-Q) and Manhattan plots for each iris characteristic are presented in Figures S1–S4.

To complement our genome-wide association analysis, we performed genome-wide gene-based tests by using VEGAS,<sup>48,49</sup> which accounts for the size of genes, SNP density, and the LD between SNPs. Depending on the underlying genetic architecture, this approach can be more powerful than traditional SNP-level analyses, such as when, for example, a gene contains two or more semi-independent causal variants of moderate significance. For each trait, the  $p$  values for the genic SNPs from the GWAS analysis of the discovery sample were assigned to genes according to positions on the UCSC Genome Browser hg18 assembly. In order to capture regulatory regions and SNPs in LD, we used the default setting in VEGAS, which defines gene boundaries as  $\pm 50$  kb of 5' and 3' UTRs. Tables S5–S8 present the results from VEGAS,<sup>48</sup> summarizing the 50 most associated genes for each iris characteristic.

The only gene with an empirical  $p$  value  $\leq 3.0 \times 10^{-6}$  after  $10^6$  simulations (our Bonferroni-corrected threshold that corrects for the 17,787 genes tested) was *SEMA3A*, and its association with crypts and this may suggest that

there are other SNPs in *SEMA3A* with small effects that influence crypts and are in low LD with rs10235789 (Figure S5). *SEMA3A* influences the two top cell layers in the human iris where crypts occur<sup>7,33</sup> but also the cell layers in cerebral cortex,<sup>34,50</sup> hippocampus,<sup>51,52</sup> cerebellum,<sup>30</sup> and amygdala.<sup>52</sup> Therefore, we decided to investigate whether crypts also are associated with other genes that, like *SEMA3A*, have been associated with decreased cortical width and depleted dendritic branching in the medial forebrain.<sup>53–55</sup> This was accomplished by testing of a “gene set” derived from an experiment conducted by Krasnova et al.<sup>55</sup>

Krasnova and colleagues<sup>55</sup> injected 6-hydroxydopamine into the medial forebrain of mice on postnatal day 1, which resulted in a decrease in the dopamine levels in the cortex and striatum of 78% and 38%, respectively (on postnatal day 7), as well as changes in the frontocortical width and somatosensory parietal cortex. Expression microarrays were then used to identify genes that were up- or downregulated in response to this treatment in the medial forebrain. They found expression differences in *SEMA3A* and 228 other genes. SNP data for 219 of the orthologous human genes were available in our discovery sample (Table S9). Consequently, in order to test whether the 24,812 SNPs derived from this gene set were enriched for association with crypts, and potentially also with furrows, we examined the distribution of test statistics for these SNPs as compared to (1) the expectation under the null hypothesis and (2) the distribution of  $p$  values for the SNPs from 1,000 randomly selected sets of 219 genes of similar size and with similar numbers of SNPs.

As shown in the Q-Q plots in Figure 5, the distributions of  $p$  values for this candidate SNP set was enriched for crypts. This enrichment is reflected in the high  $\lambda$  values of these SNP sets, indicating that this enrichment is not due to a small number of large effects, but rather to a systematically higher degree of association throughout

the candidate SNP set. Importantly, this enhanced association is absent when considering the  $\lambda$  from the full GWAS analysis (i.e., for crypts  $\lambda_{\text{GWAS}} = 1.0051$  [Figure S1A], whereas  $\lambda_{\text{Krasnova Set}} = 1.1362$ ), suggesting that this is unlikely to be due to a methodological artifact. We also used bootstrapping (sampling with replacement) to examine evidence for enhancement, comparing the number of SNPs reaching a nominal  $p$  value of .01 observed in genes within the Krasnova set to that observed in 1,000 randomly selected sets of 219 genes of similar size. As shown in Table S14, the bootstrapping analyses suggested that a distribution this enriched for crypt and furrow was unlikely to arise by chance alone (empirical  $p$  value = 0.019 and 0.034, respectively).

To further investigate the hypothesis that these neuronal pathway genes are associated with iris characteristics, we used PLINK to calculate polygenic profile scores by using the maximally associated SNP from the 50 most significant genes derived from the VEGAS analyses of the discovery sample (Tables S5–S8) and the 50 most significantly associated genes from Krasnova's gene set (Tables S10–13). The profile score from the Krasnova set accounted for 4% of the variation in the crypts in the replication sample ( $r = 0.2$ ), as compared to the 1.7% accounted for by the empirically derived gene set (Table S15). These results are somewhat preliminary, but our results suggest that genes influencing morphogenesis in the developing cortex also influence human iris patterns. Although the current findings suggest that human orthologs of genes responsible for neuronal morphogenesis in the mouse cortex are associated with crypt frequency and furrows, it is possible that the current findings may reflect the effect of a variant in long-range LD or trans effects. Thus, these findings warrant replication using both genetic and brain imaging techniques, which can evaluate whether SNPs associated with iris characteristics are also associated with brain morphology and function.

In conclusion, we report two quantitative trait loci that affect visible tissue characteristics in the human iris. These loci account for about 1.5% of the variance for crypt and furrows. The variants associated with crypts fall within *SEMA3A*, which is expressed in the cell layers where crypts occur. Variants associated with furrows fall within *TRAF3IP1*, which is essential for cytoskeleton function. Both *SEMA3A* and *TRAF3IP1* are implicated in pathways that control neurogenesis, neural migration, and synaptogenesis. In addition, we found that variants associated with the pigmented ring, which lie upstream of *SLC24A4*, are in strong LD with variants that have been associated with eye color, suggesting that these variants may influence where in the iris the pigment is placed, rather than overall eye color per se.

### Supplemental Data

Supplemental Data include five figures and 15 tables and can be found with this article online at <http://www.cell.com/AJHG/>.

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### Web Resources

The URLs for data presented herein are as follows:

Entrez Gene, <http://www.ncbi.nlm.nih.gov/gene>

GeneCards, <http://www.genecards.org/>

Haploview, [www.broad.mit.edu/mpg/haploview/](http://www.broad.mit.edu/mpg/haploview/)

Merlin, <http://www.sph.umich.edu/csg/abecasis/Merlin/>

Metal, <http://www.sph.umich.edu/csg/abecasis/Metal/>

Mx, <http://www.vcu.edu/mx/>

NCIB Unigene, <http://www.ncbi.nlm.nih.gov/unigene>

NIEBank, <http://neibank.nei.nih.gov>

Online Mendelian Inheritance in Man (OMIM), <http://www.omim.org>

Plink, <http://pngu.mgh.harvard.edu/~purcell/plink/>

SNAP plots, <http://www.broadinstitute.org/mpg/snap/ldplot.php>

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